

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Werner HELMS et al.
Title: MULTI-CHAMBER FLAT TUBE
Appl. No.: Unassigned
Filing Date: 07/19/2004
Examiner: Unassigned
Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

RELEVANCE OF EACH DOCUMENT

The relevance of foreign-language documents A1-A3 is described in the present specification.

Documents A4-A9 were cited in the International Search Report in Applicants' International Application PCT/EP03/0040. A copy of the International Search Report setting forth the portion of each reference considered relevant by the Examiner is attached.

Documents A10 claims priority based on document A1.

English translations of the foreign-language documents are not readily available. However, the absence of such translations does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are provided herewith.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or

even entirely missing, the Commissioner is authorized to charge the unpaid amount to
Deposit Account No. 19-0741.

Respectfully submitted,

Date July 19, 2004

By 

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: July 19, 2004

(use as many sheets as necessary)

Sheet 1 of 1

Complete if known 10/501812

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|------------------------|--------------|
| Application Number | Unassigned |
| Filing Date | 07/19/2004 |
| First Named Inventor | Werner HELMS |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 016906-0320 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | A1 | DE | 195 10 283 | A1 | BEHR GMBH & CO. | 09-26-1996 | | Abst. |
| | A2 | DE | 40 26 988 | A1 | BEHR GMBH & CO. | 02-27-1992 | | Abst. |
| | A3 | EP | 0 457 470 | B1 | SHOWA ALUMINUM KABUSHIKI KAISHA | 01-03-1996 | | |
| | A4 | JP | 2000-55582 | A | ZEXEL CORP. | 02-25-2000 | | Abst. |
| | A5 | FR | 2 810 728 | A1 | VALEO THERMIQUE MOTEUR | 12-28-2001 | | Abst. |
| | A6 | JP | 2000-227293 | A | ZEXEL CORP. | 08-15-2000 | | Abst. |
| | A7 | EP | 1 074 807 | A2 | FORD MOTOR COMPANY | 02-07-2001 | | |
| | A8 | EP | 0 302 232 | A1 | SUEDDEUTSCHE KUEHLER BEHR | 02-08-1989 | | Abst. |
| | A9 | FR | 2 780 153 | A1 | VALEO THERMIQUE MOTEUR | 12-24-1999 | | Abst. |
| | A10 | GB | 2 299 037 | A | BEHR GMBH & CO. | 09-25-1996 | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
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Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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